

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF NEW YORK

PETER C. RIZZO,

Plaintiff,

v.

**FRCP RULE 7.1  
DISCLOSURE STATEMENT**

Case No. 23-CV-00406

CLOVER GROUP, INC., et al.,

Defendants.

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Pursuant to Federal Rule of Civil Procedure 7.1 and General Order No. 157 entered on May 31, 2022 by the Honorable Elizabeth A. Wolford, Chief Judge of the United States District Court for the Western District of New York, the undersigned counsel of record for Defendant, The Carlyle Group Inc. (incorrectly identified in the Complaint in this action as “The Carlyle Group”) states, as of the date hereof, as follows:

1. Defendant The Carlyle Group Inc. is a foreign corporation incorporated in the State of Delaware and headquartered in Washington, D.C.
2. As of the date hereof, no publicly held corporation owns 10% or more of the common stock of The Carlyle Group Inc.
3. Defendant The Carlyle Group Inc. to the best of its current knowledge, does not have any members, shareholders, partners, or individuals in leadership positions whose identities may reasonably bear on the Court’s decision whether to recuse, on motion or *sua sponte*.

*[The remainder of this page is intentionally left blank.]*

Dated: August 31, 2023  
Pittsford, New York

HARRIS BEACH PLLC

s/Daniel J. Moore

Daniel J. Moore

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